



**REQUEST FOR CREATION OF SHARES WITH ASSETS / REDEMPTION
OF SHARES OF THE CAIXA ETF IBOVESPA INDEX FUND - CNPJ:
14.120.533/0001-11 (FUND)**

Shareholder's Name/Company Name: _____

CNPJ/CPF: _____

Phone and e-mail: _____

Authorized Agent: _____

Type of Order: Redemption Creation of shares with assets

I – Shareholder's Tax Status and Situation

Tax Status:

Taxed

Not taxed (Imperative to attach a probative document, according to each case)

Injunction (in this case, attach supporting document)

Tax Situation:

Individual Investor – resident in Brazil

Foreign Investor – by Resolution 2689/00 not from Tax Haven

Foreign Investor – out of the terms of Resolution 2689/00 or from Tax Haven.

Corporate Non-Financial Investor – resident in Brazil

Corporate Financial - resident in Brazil

Complementary Private Pension Entity – resident in Brazil

Investment Fund headquartered in Brazil

Other Description: _____

II – Information for the calculation of the cost of acquisition of shares or acquisition of assets and securities in creation of shares.

The Authorized Agent shall fill in this form and send the applicable documentation according to the information provided in the Registry of Shareholder (Brokerage notes and other documents supplied to the respective Authorized Agent by any Shareholder that orders the redemption of Minimum Batches of Shares or creation of shares with assets) so the Administrator may ascertain the cost for acquisition of the shares to be redeemed or the cost of acquisition of assets and securities to be delivered for the creation of fund shares.

III – Statements and Responsibilities

The Shareholder and the Authorized Agent declares that they are aware and agree with the conditions, procedures and terms defined on this Order and in the document "Important Information of CAIXA ETF Ibovespa Index FUND" attached to it.

The information provided in this form is responsibility of the Shareholder and of the Authorized Agent. The calculation of the income tax shall be carried out based on the information provided in this form.

Any charges or costs that the Administrator may bear due to the information depicted herein or due to the noncompliance in the sending of resources for the payment of the income tax shall be responsibility of the Shareholder and of the Authorized Agent.



The Authorized Agent undertakes fully responsibility for the regularity and custody of the register documentation informed by the Shareholder strictly in the terms of the applicable regulation, as well as for the compliance of all the judicial requests regarding such register documentation, including its checking and verification of authenticity.

Place: _____

Date: _____

SHAREHOLDER

AUTHORIZED AGENT



IMPORTANT INFORMATION OF CAIXA ETF IBOVESPA INDEX FUND
CNPJ: 14.120.533/0001-11

1. According to the tax situation of the Shareholder, the forms “Request for Creation of Shares with Assets / Redemption of Shares” and “Income Tax Exemption Statement” and the documents for the calculation of costs shall be filled and sent to the CAIXA ECONÔMICA FEDERAL (ADMINISTRATOR) only by the Authorized Agent through which the Shareholder orders creation of shares with assets or the redemption of shares, on the same date of the registry of such order on BM&FBOVESPA.

WARNING: The Creation of Shares with Assets / Redemption of Shares, the Income Tax Exemption Statement and the applicable documentation shall be in “pdf” format for the sending by the Authorized Agent to the Administrator.

2. The Authorized Agent shall send the documents described above dully filled and signed in every page, always followed by probative documentation regarding the exemption and by an injunction, according to the case, for CAIXA ECONÔMICA FEDERAL, to the e-mail and mailing address indicated by the ADMINISTRATOR, with a minimum of 03 (three) hours prior to the closing of the operations of the FUND.

3. Should the shareholder be an Investment Fund governed by CVM Instruction 555, it shall only send the CNPJ card to prove the tax benefit, with no need for the filling and sending the Tax Exemption Statement, provided that, according to the existing law, these funds are exempted from the income tax on revenues and net or capital incomes calculated by their portfolios.

4. **IMPORTANT:** (i) The creation of shares with assets / redemption order is conditioned to the receiving of the documentation described above, by the Administrator, in the term specified above; (ii) Just upon the compliance, within the specified term, of the requirements stated above, the Administrator shall be able to authorize the redemption of shares on the BM&FBOVESPA’s system; Should the Administrator do not receive the necessary resources for the payment of the income tax on the deadline stated above, the creation of shares with assets or the redemption of shares may be cancelled, or the Authorized Agent and the Shareholder shall undertake the fees charged by the Federal Revenue of Brazil due to the delay on the payment of such tax.

5. During the performance of the creation of shares with assets or the redemption order, should the Shareholder be subject to withholding the tax at source, the Authorized Agent shall receive from the Shareholder the amount of income tax calculated by the Administrator, which shall be informed within 01 (one) working day to the Authorized Agent. This amount shall be transferred by the Authorized Agent to the bank account of the FUND indicated by the Administrator to the Authorized Agent within 02 (two) working days as de date of the settlement of the redemption.

6. The creation of shares with assets and the redemption of shares shall be carried out in minimum batches, according to the dispositions of the Bylaws of the FUND.



7. Access the website <http://www.caixa.gov.br/etf> for more information on index funds applicable taxes.

Telephones:

Toll-free-line for Shareholders 0800 726-0101

Toll-free-line for Hearing-Impaired People: 0800-726-2492

Ombudsman CAIXA: 0800-725-7474

Email for the answering of questions: supot01@caixa.gov.br



INCOME TAX EXEMPTION STATEMENT

TO

CAIXA ECONOMICA FEDERAL,
Administrator of CAIXA ETF IBOVESPA INDEX FUND

_____ (Name/Company Name)

Headquartered/ home address

_____ (complete address), registered under
CPF/CNPJ No.

_____, for the objective of not withholding the
income tax at source, over financial investment incomes (article 77, paragraphs I e III of
Law nº 8.981/95) operated with CAIXA ETF IBOVESPA INDEX FUND - CNPJ:
14.120.533/0001-11, states:

a) that is:

Open Private Pension Entity;

Insurance Society

Other

description: _____

b) it is aware that the untruth on the provision of these information shall subject it,
together with other ones that cooperates with it, to the penalties provided by criminal
and tax law regarding false identity theft (art. 299 of the Criminal Code) and crime
against the tax order (art. 1º of Law nº 8.137, as December 27, 1990), not excepting any
other penalty not described herein.

c) that the signatory/ signatories is/ are legal representative/s of this institution,
undertaking the compromise of immediately informing this Administrator about any
change of the present situation (**only for the shareholders that are not individuals**)

Place: _____

Date: _____

Name (s) and signature (s) of the representative (s)